

CARRY FORWARD WORK 2011-12 AUDIT PLAN																
Audit No.	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					PROPOSED START DATE	ACTUAL START DATE	DRAFT ISSUED	FINAL ISSUED	
							5	4	3	2	1					
1	Children & Education	Child Placements Out of County	Operational	2011-12	Final	Partial	7	1	0	3	0	3	30/01/12	30/01/12	08/05/12	10/08/2012
2	Children & Education	Safeguarding (Child Protection)	Operational	2011-12	Final	Partial	18	1	0	7	0	10	01/04/12	01/04/12	11/07/12	02/08/12
3	ICT	Core Financial Systems - Benefit Systems	ICT	2011-12	Completed	Non Opinion - additional External Audit Testing	0	0	0	0	0	0	01/01/12	01/01/12	N/A	N/A
4	ICT	Core Financial Systems - Cash Receipting (Civica)	ICT	2011-12	Final	Reasonable	13	0	0	0	0	13	01/01/12	01/01/12	06/07/12	29/08/12
5	ICT	Core Financial Systems - Housing Rents (Simdell)	ICT	2011-12	Final	Reasonable	2	0	0	0	0	2	01/01/12	01/01/12	15/05/12	21/06/12
6	ICT	IT Infrastructure	ICT	2011-12	Final	Partial	7	2	0	1	0	4	01/01/12	01/01/12	05/04/12	02/07/12
7	ICT	New Revenues & Benefits System (Northgate)	ICT	2011-12	Final	Reasonable	7	0	0	6	0	1	01/01/12	01/01/12	08/06/12	24/08/12
8	Neighbourhood & Planning	Leisure Services Management	Operational	2011-12	Final	Reasonable	6	0	0	0	0	6	21/03/12	02/05/2012	30/04/12	14/06/12
9	Children & Education	Child Placements Foster Carers	Operational	2011-12	Final	Reasonable	0	0	0	0	0	0	17/07/2012	17/07/12	13/08/12	13/08/12
10	Community Services	DCS Systems Thinking Review Programme	Operational	2011-12	Completed	Advice	0	0	0	0	0	0	18/05/2012	18/05/2012	14/06/12	14/06/12
11	Corporate	Income	Operational	2011-12	Completed	Advice	0	0	0	0	0	0	N/A	N/A	N/A	N/A
12	Finance	Procurement & Contract Management	Operational	2011-12	Completed	Advice	0	0	0	0	0	0	N/A	N/A	N/A	N/A
13	Neighbourhood	Car Parking Services	Operational	2011-12	In Progress		0	0	0	0	0	0	20/06/2012	20/06/2012	12/09/12	N/A
14	Neighbourhood	StreetScene	Operational	2011-12	Deferred	Deferred Q1 2012-13 Plan	0	0	0	0	0	0	N/A	N/A	N/A	N/A
15	Neighbourhood	Traffic and Network Management	Operational	2011-12	Final	Partial	6	3	0	2	0	1	26/04/12	26/04/12	02/07/12	02/08/12
16	Neighbourhood & Planning	Section 106 Agreements	Operational	2011-12	Final	Advice	0	0	0	0	0	0	15/06/2012	15/06/2012	26/06/12	26/06/12
17	Transformation & Resources	Temporary Staff / Consultants	Operational	2011-12	Deferred	Deferred to Q4 2012-13 Plan	0	0	0	0	0	0	N/A	N/A	N/A	N/A
Total Number of Recommendations							66	7	0	19	0	40				
2012-13 AUDIT PLAN																
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					PROPOSED START DATE	ACTUAL START DATE	DRAFT ISSUED	FINAL ISSUED	
							5	4	3	2	1					
FINAL REPORTS ISSUED																
18	Neighbourhood	StreetScene	Operational	April 2012	Final	Reasonable	3	0	0	2	0	1	18/06/2012	18/06/2012	13/08/12	28/08/12

19	Schools - Primary (incl First, Infant & Junior)	Bellefield Primary & Nursery School	School	April 2012	Final	Reasonable	2	0	0	1	0	1	18/04/12	18/04/12	10/05/12	25/06/12
20	Schools - Primary (incl First, Infant & Junior)	Bratton Primary School	School	April 2012	Final	Reasonable	3	0	0	3	0	0	18/05/2012	18/05/2012	07/07/2012	02/08/12
21	Schools - Primary (incl First, Infant & Junior)	Burbage Primary School	School	April 2012	Final	Reasonable	5	0	0	3	0	2	09/05/12	09/05/12	26/06/12	10/07/12
22	Schools - Primary (incl First, Infant & Junior)	Chapmanslade CE VC Aided Primary School	School	April 2012	Final	Partial	10	0	0	7	0	3	16/05/12	16/05/12	07/06/12	21/06/12
23	Schools - Primary (incl First, Infant & Junior)	Cherhill CE Primary School	School	April 2012	Final	Reasonable	4	0	0	2	0	2	25/04/12	25/04/12	18/05/12	18/06/12
24	Schools - Primary (incl First, Infant & Junior)	Crockerton CE VA Primary School	School	April 2012	Final	Reasonable	6	0	0	3	0	3	18/05/12	18/05/12	14/06/12	15/08/12
25	Schools - Primary (incl First, Infant & Junior)	Figheldean St Michael's CE Primary School	School	April 2012	Final	Reasonable	5	0	0	4	0	1	22/05/12	22/05/12	26/06/12	Awaiting Completed Action Plan from the school.
26	Schools - Primary (incl First, Infant & Junior)	Five Lanes Primary	School	April 2012	Final	Reasonable	4	0	0	4	0	0	11/05/12	11/05/12	14/06/12	N/A
27	Schools - Primary (incl First, Infant & Junior)	Holbrook Primary School	School	April 2012	Final	Reasonable	2	0	0	1	0	1	01/05/12	01/05/12	07/06/12	Awaiting Completed Action Plan from the school.
28	Schools - Primary (incl First, Infant & Junior)	Kington St Michael CE Primary School	School	April 2012	Final	Reasonable	2	0	0	2	0	0	03/05/12	03/05/12	21/05/12	10/07/12
29	Schools - Primary (incl First, Infant & Junior)	Larkrise School	School	April 2012	Final	Substantial	0	0	0	0	0	0	16/05/12	16/05/12	21/06/12	Awaiting Completed Action Plan from the school.
30	Schools - Primary (incl First, Infant & Junior)	Pembroke Park Primary School	School	April 2012	Final	Reasonable	3	0	0	2	0	1	20/04/12	20/04/12	03/05/12	Awaiting Completed Action Plan from the school.
31	Schools - Primary (incl First, Infant & Junior)	Princecroft Primary School	School	April 2012	Final	Reasonable	4	0	0	2	0	2	23/05/12	23/05/12	14/06/12	11/07/12
32	Schools - Primary (incl First, Infant & Junior)	Stratford-sub-Castle CE VC Primary School	School	April 2012	Final	Reasonable	8	0	1	0	7	0	15/06/12	15/06/12	12/07/12	Awaiting Completed Action Plan from the school.

33	Schools - Primary (incl First, Infant & Junior)	West Ashton CE VA Primary School	School	April 2012	Final	Reasonable	4	0	0	2	0	2	18/05/12	18/05/12	10/07/12	23/07/12
34	Schools - Primary (incl First, Infant & Junior)	Wilton & Barford CE Primary School	School	April 2012	Final	Reasonable	2	0	0	2	0	0	24/05/12	24/05/12	10/07/12	Awaiting Completed Action Plan
35	HR & Organisational Development	Restructuring and Redundancies	Operational	July 2012	Final	Substantial	4	0	0	2	1	1	21/05/12	18/06/12	17/08/12	29/08/12
Total Number of Recommendations							71	0	1	42	8	20				
2012-13 AUDIT PLAN																
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					PROPOSED START DATE	ACTUAL START DATE	DRAFT ISSUED	FINAL ISSUED	
							5	4	3	2	1					
COMPLETED FOLLOW UP WORK																
36	Finance	Procurement Cards	Follow Up	April 2012	Final	N/A	0	0	0	0	0	0	03/05/2012	03/05/2012	17/05/2012	31/05/12
37	Finance	Imprests/Cash Remote Offices	Follow Up	April 2012	Final	N/A	0	0	0	0	0	0	16/05/2012	16/05/2012	17/05/12	31/05/12
2012-13 AUDIT PLAN																
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					PROPOSED START DATE	ACTUAL START DATE	DRAFT ISSUED	FINAL ISSUED	
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DRAFT REPORTS																
38	Communities	Housing Repairs	Operational	April 2012	Draft	TBC	0	0	0	0	0	0	17/05/12	21/05/12	04/07/12	N/A
39	Communities	Libraries	Operational	April 2012	Draft	TBC	0	0	0	0	0	0	26/04/12	26/04/12	24/07/12	N/A
40	Core Cross-Cutting	Creditor Fraud	Governance, Fraud & Corruption	April 2012	Draft	TBC	0	0	0	0	0	0	17/05/2012	17/05/2012	24/08/2012	N/A
41	Core Cross-Cutting	Partnerships	Operational	April 2012	Draft	TBC	0	0	0	0	0	0	18/06/12	18/06/12	31/07/12	N/A
42	HR & Organisational Development	Sickness Absence	Operational	July 2012	Draft		0	0	0	0	0	0	17/05/12	17/05/12	28/08/12	N/A
43	Legal & Democratic	Coroners	Operational	April 2012	Draft	TBC	0	0	0	0	0	0	13/06/12	13/06/12	23/07/12	N/A
44	Legal & Democratic	Electoral Services	Operational	April 2012	Draft	TBC	0	0	0	0	0	0	17/07/12	17/07/12	29/08/12	N/A
45	Public Health & Public Protection	Business Continuity	Governance, Fraud & Corruption	April 2012	Draft	TBC	0	0	0	0	0	0	30/04/12	30/04/12	01/08/12	N/A
46	Schools - Primary (incl First, Infant & Junior)	Great Bedwyn CE Primary School	School	April 2012	Draft	TBC	0	0	0	0	0	0	27/06/12	27/06/12	06/09/12	N/A
47	Schools - Primary (incl First, Infant & Junior)	Ogbourne St George & St Andrew VC CE Primary School	School	April 2012	Draft	TBC	0	0	0	0	0	0	20/06/12	20/06/12	06/09/12	N/A
48	Schools - Primary (incl First, Infant & Junior)	St George's CE Primary School	School	April 2012	Draft	TBC	0	0	0	0	0	0	20/06/12	20/06/12	06/09/12	N/A
49	Schools - Secondary (incl Upper)	The Clarendon College	School	April 2012	Draft	TBC	0	0	0	0	0	0	11/06/12	11/06/12	06/09/12	N/A

50	Schools - Primary (incl First, Infant & Junior)	Amesbury Archer Primary School	School	July 2012	Draft		0	0	0	0	0	0	12/07/12	12/07/12	06/09/12	N/A
51	Schools - Primary (incl First, Infant & Junior)	Dilton Marsh CE Primary School	School	July 2012	Draft	TBC	0	0	0	0	0	0	25/04/2012	25/04/2012	17/05/2012	N/A
52	Schools - Primary (incl First, Infant & Junior)	Great Wishford CE Aided Primary School	School	July 2012	Draft	TBC	0	0	0	0	0	0	30/05/2012	30/05/2012	17/07/2012	N/A
2012-13 AUDIT PLAN																
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					PROPOSED START DATE	ACTUAL START DATE	DRAFT ISSUED	FINAL ISSUED	
							5	4	3	2	1					
DRAFT REPORTS (continued)																
53	Schools - Primary (incl First, Infant & Junior)	St Michael's CE (Aided) Primary School, Aldbourne	School	July 2012	Draft	TBC	0	0	0	0	0	0	10/07/12	10/07/12	06/09/12	06/09/12
ADDITIONAL UNPLANNED WORK																
54	Children & Families	Youth Service	Requested Work	July 2012	Completed		0	Review into procurement procedures.					22/05/2012	22/05/2012	22/06/2012	13/07/12
55	External Work	Police	Requested Work	June 2012	In progress		0	Review into Income Procedures					28/06/12	28/06/12	N/A	N/A
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							5	4	3	2	1					
CURRENT WORK IN PROGRESS																
56	Business Services	Capital Projects	Operational	April 2012	In Progress	TBC	0	0	0	0	0	0	01/05/12	01/05/12	12/09/12	
57	Core Cross-Cutting	SAP Administration	Operational	April 2012	In Progress		0	0	0	0	0	0	03/07/12	03/07/12	03/08/12	
58	Finance	Housing & Council Tax Benefits	Operational	April 2012	In Progress		0	0	0	0	0	0	09/07/12	09/07/12	04/09/12	
59	Information Services	Housing Management System	ICT	April 2012	Ongoing	Advice	0	0	0	0	0	0	N/A	N/A	N/A	N/A
60	Procurement	Contract Management	Operational	April 2012	In Progress		0	0	0	0	0	0	01/06/12	08/06/12	24/08/12	
61	Adult Care & Housing Strategy	Care Homes	Operational	July 2012	In progress		0	0	0	0	0	0	03/09/12	03/09/12	06/11/12	
62	Core Cross-Cutting	Financial Procedure Rules & Contract Standing Orders	Operational	July 2012	In Progress		0	0	0	0	0	0	01/08/12	15/08/12	30/09/12	
63	Core Cross-Cutting	Managing With Reduced Resources	Governance, Fraud & Corruption	July 2012	In Progress		0	0	0	0	0	0	20/08/12	20/08/12	15/10/12	
2012-13 AUDIT PLAN																
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CURRENT WORK IN PROGRESS (continued)																
64	Information Services	Cloud Computing	ICT	July 2012	Ongoing	Advice	0	0	0	0	0	0	N/A	N/A	N/A	N/A
65	Information Services	Pensions	Follow Up	July 2012	In progress		0	0	0	0	0	0	17/08/12	17/08/12	15/09/12	
66	Schools - Primary (incl First, Infant & Junior)	Chilton Foliat CE VA Primary School	School	July 2012	In Progress		0	0	0	0	0	0	04/07/12	04/07/12	06/09/12	

67	Transformation	Closure of Offices	Operational	July 2012	In Progress		0	0	0	0	0	0	29/06/12	29/06/12	15/08/12	
68	Information Services	CareFirst	ICT	August 2012	Created		0	0	0	0	0	0				
69	Adult Care & Housing Operations	Help to Live at Home	Operational	January 2013	Created		0	0	0	0	0	0				
70	Adult Care & Housing Strategy	Care Transfers	Operational	January 2013	Created		0	0	0	0	0	0				
71	Adult Care & Housing Strategy	Community Budgets	Operational	January 2013	Created		0	0	0	0	0	0				
72	Adult Care & Housing Strategy	Housing Strategy	Operational	January 2013	Created		0	0	0	0	0	0				
73	Children & Families	Adoption & Fostering	Operational	January 2013	Created		0	0	0	0	0	0				
74	Children & Families	Care Placements	Operational	January 2013	Created		0	0	0	0	0	0				
75	Commissioning & Performance	Child Protection	Operational	January 2013	Created		0	0	0	0	0	0				
76	Communications	Communications	Operational	January 2013	Created		0	0	0	0	0	0				
77	Communities	Area Boards, Communities	Operational	January 2013	Created		0	0	0	0	0	0				
2012-13 AUDIT PLAN																
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FUTURE PLANNED WORK (continued)																
78	Core Cross-Cutting	Change Management	Operational	January 2013	Created		0	0	0	0	0	0				
79	Core Cross-Cutting	Expenses Fraud	Governance, Fraud & Corruption	January 2013	Created		0	0	0	0	0	0				
80	Core Cross-Cutting	Project Management	Operational	January 2013	Created		0	0	0	0	0	0				
81	Information Services	Disaster Recovery	ICT	January 2013	Created		0	0	0	0	0	0				
82	Legal & Democratic	Data Quality	Operational	January 2013	Created		0	0	0	0	0	0				
83	Neighbourhood	Car Parking Services	Operational	January 2013	Created		0	0	0	0	0	0				
84	Public Health & Public Protection	Business Continuity	Operational	January 2013	Created		0	0	0	0	0	0				
85	Risk Management	Performance Management	Operational	January 2013	Created		0	0	0	0	0	0				
86	Strategic, Highways & Transport	Street Lighting	Operational	January 2013	Created		0	0	0	0	0	0				
87	Adult Care & Housing Operations	Vulnerable Adults	Operational	July 2012	Created		0	0	0	0	0	0				
88	Adult Care & Housing Strategy	Child/Adult Transition	Operational	July 2012	Created		0	0	0	0	0	0				
89	Adult Care & Housing Strategy	Continuing Health Care	Operational	July 2012	Created		0	0	0	0	0	0				
90	Adult Care & Housing Strategy	Housing Benchmarking	Operational	July 2012	Created		0	0	0	0	0	0				
91	Children & Families	Children and Families	Operational	July 2012	Created		0	0	0	0	0	0				
92	Core Cross-Cutting	Committee Reporting - Member Decisions	Governance, Fraud & Corruption	July 2012	Created		0	0	0	0	0	0				
93	Core Cross-Cutting	Contract Fraud	Governance, Fraud & Corruption	July 2012	Created		0	0	0	0	0	0				

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FUTURE PLANNED WORK (continued)																
94	Development	Planning Applications	Follow Up	July 2012	In Progress		0	0	0	0	0	0	21/08/12	28/08/12	30/09/12	
95	Economy & Regeneration	Economic Development	Operational	July 2012	Created		0	0	0	0	0	0				
96	Finance	Imprests	Operational	July 2012	In Progress		0	0	0	0	0	0	20/08/12	20/08/12	30/09/12	
97	HR & Organisational Development	Behaviours Framework	Deferred/Removed	July 2012	13/14 Audit		0	0	0	0	0	0				
98	Information Services	CareFirst	ICT	July 2012	Created		0	0	0	0	0	0				
99	Legal & Democratic	Complaints	Operational	July 2012	Created											
100	Legal & Democratic	Litigation Management	Operational	July 2012	Created		0	0	0	0	0	0				
101	Procurement	Procurement & Contract Management	Advice	July 2012	Ongoing		0	0	0	0	0	0				
102	Public Health & Public Protection	Emergency Planning	Operational	July 2012	Created		0	0	0	0	0	0				
103	Schools - Primary (incl First, Infant & Junior)	Baydon St Nicholas CE School	School	July 2012	Created		0	0	0	0	0	0				
104	Schools - Primary (incl First, Infant & Junior)	Broad Hinton CE Primary School	School	July 2012	Created		0	0	0	0	0	0				
105	Schools - Primary (incl First, Infant & Junior)	Greentrees Primary School	School	July 2012	Created		0	0	0	0	0	0				
106	Schools - Primary (incl First, Infant & Junior)	Lyneham Primary	School	July 2012	Created		0	0	0	0	0	0				
107	Schools - Primary (incl First, Infant & Junior)	Old Sarum Primary School	School	July 2012	Created		0	0	0	0	0	0				
108	Adult Care & Housing Operations	Assessments & Reviews (Care & Financial)	Operational	October 2012	Created		0	0	0	0	0	0				
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FUTURE PLANNED WORK (continued)																
109	Adult Care & Housing Strategy	Continuing Health Care	Operational	October 2012	Created		0	0	0	0	0	0				
110	Adult Care & Housing Strategy	Orders of St John (OSJ) Care Homes Contract	Operational	October 2012	Created		0	0	0	0	0	0				
111	Communities	Housing Rents	Operational	October 2012	Created		0	0	0	0	0	0				
112	Core Cross-Cutting	Corporate Governance	Operational	October 2012	Created		0	0	0	0	0	0				
113	Core Cross-Cutting	Direct Payments Fraud	Governance, Fraud & Corruption	October 2012	Created		0	0	0	0	0	0				

114	Finance	Accounts Payable	Key Control	October 2012	Created		0	0	0	0	0	0				
115	Finance	Accounts Receivable	Key Control	October 2012	Created		0	0	0	0	0	0				
116	Finance	Cash Investments & Borrowing	Key Control	October 2012	Created		0	0	0	0	0	0				
117	Finance	Council Tax	Key Control	October 2012	Created		0	0	0	0	0	0				
118	Finance	Fees & Charges	Operational	October 2012	Created		0	0	0	0	0	0				
119	Finance	Financial Reporting	Key Control	October 2012	Created		0	0	0	0	0	0				
120	Finance	Housing & Council Tax Benefits	Key Control	October 2012	Created		0	0	0	0	0	0				
121	Finance	Management Accounting / Budgeting	Key Control	October 2012	Created		0	0	0	0	0	0				
122	Finance	NNDR	Key Control	October 2012	Created		0	0	0	0	0	0				
123	Finance	Payroll	Key Control	October 2012	Created		0	0	0	0	0	0				
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FUTURE PLANNED WORK (continued)																
124	Finance	Pensions	Key Control	October 2012	Created		0	0	0	0	0	0				
125	HR & Organisational Development	Staff leavers	Operational	October 2012	Created		0	0	0	0	0	0				
126	Information Services	Core Financial Systems - Benefit Systems	Key Control	October 2012	Created		0	0	0	0	0	0				
127	Information Services	Core Financial Systems - Cash Reconciling (Civica)	Key Control	October 2012	Created		0	0	0	0	0	0				
128	Information Services	Core Financial Systems - Housing Rents (Sindell)	Key Control	October 2012	Created		0	0	0	0	0	0				
129	Information Services	Core Financial Systems - SAP	Key Control	October 2012	Created		0	0	0	0	0	0				
130	Information Services	IT Networks	Key Control	October 2012	Created		0	0	0	0	0	0				
131	Information Services	SAP Access Controls	ICT	October 2012	Created		0	0	0	0	0	0				
132	Legal & Democratic	Assets & Property	Operational	October 2012	Created		0	0	0	0	0	0				
133	Legal & Democratic	Litigation Management	Operational	October 2012	Created		0	0	0	0	0	0				
134	Public Health & Public Protection	Emergency Planning	Operational	October 2012	Created		0	0	0	0	0	0				
135	Public Health & Public Protection	Licensing	Operational	October 2012	Created		0	0	0	0	0	0				
136	Risk Management	Risk Management	Advice	October 2012	Ongoing		0	0	0	0	0	0				
137	Strategic, Highways & Transport	Traffic & Network Management	Operational	October 2012	Created		0	0	0	0	0	0				
138	Transformation	Campus Programmes	Operational	October 2012	Created		0	0	0	0	0	0				